

Eppinger, Hermann & Fischer GbR
Ridlerstrasse 55
D-80339 München, Deutschland (Germany)
Attn: Jacob Eisenberg

Voice +49895003290
Facsimile +498950032999
Customer No.: 000026461

#3

Assistant Commissioner for Patents
Washington DC 20231

Re: US Patent Application
Your Ref: P1999,0012US; My Ref: Epp.012
Filed: December 29, 2000; Serial No. 09/751,932
Inventors: Ulrich STEEGMUELLER *et al.*
Title: Optical Encoder with Triple Photodiode

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION, FILING DATE GRANTED and FILING RECEIPT, a copy of which is attached hereto, the following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Transmittal Form;
2. Fee Transmittal, form PTO/SB/17 (duplicate);
3. Petition for Extension of Time
4. Credit Card Payment Form, PTO-2038;
5. Declaration/Oath and Power of Attorney;
6. Notice to File Missing Parts of Nonprovisional Application (PTO Copy);
7. Preliminary Amendment; and
8. Return Post Card.

It is respectfully requested that the attached post card be stamped with the filing date of these documents and returned to us via post. A duplicate of this letter is enclosed.

Respectfully submitted,

Jacob Eisenberg
Attorney for Applicant
Registration No. 43,410

Date: May 14, 2001



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/751,932	
	Filing Date	December 29, 2000	
	First Named Inventor	Ulrich Steegmueller	
	Group Art Unit	2878	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	37	Attorney Docket Number	P1999,0012US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	See Remarks
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Substitute Specification Return Card Notice to File Missing Parts (PTO Copy)	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Epping, Herman & Fischer; Customer No. 000026461 ATTN: Jacob Eisenberg, Esq.
Signature	
Date	Mar 14, 2001

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>		
Typed or printed name	<input type="text"/>	
Signature	<input type="text"/>	Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Manni

UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,932	12/29/2000	Ulrich Steegmueller	P1999,0012US

CONFIRMATION NO. 7981

 000026461
 EPPING, HERMANN & FISCHER
 RIDLERSTRASSE 55
 MUNCHEN, BAVARIA, D-80339
 GERMANY

FORMALITIES LETTER



OC000000005806166

Date Mailed: 02/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$36.
 - \$36 for 2 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 876.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:


- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
 - The specification cannot be scanned or properly stored. Page(s)

05/30/2001 AMABI1 00000068 09751932

01 FC:101	710.00 OP	○ Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and
02 FC:105	130.00 OP	
03 FC:103	36.00 OP	

white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 1 are not in compliance with 37 CFR 1.52(a).

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



105 B
101 B
105 2
103 x

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>		Complete if Known	
		Application Number	09/751,932
		Filing Date	December 29, 2000
		First Named Inventor	Ulrich Steegmueller
		Examiner Name	Unassigned
		Group Art Unit	2878
TOTAL AMOUNT OF PAYMENT (\$) 986		Attorney Docket No.	P1999,0012US

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																																																																																									
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number _____ Deposit Account Name _____ <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES																																																																																																																																																																																																																																																									
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unavoidable</td><td></td></tr><tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td></td><td></td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td></td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>440</td><td>243</td><td>220</td><td></td><td></td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>600</td><td>244</td><td>300</td><td></td><td></td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td></td><td></td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td></td><td></td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr><tr><td>126</td><td>180</td><td>126</td><td>180</td><td></td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td></td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146</td><td>710</td><td>246</td><td>355</td><td></td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149</td><td>710</td><td>249</td><td>355</td><td></td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179</td><td>710</td><td>279</td><td>355</td><td></td><td></td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169</td><td>900</td><td>169</td><td>900</td><td></td><td></td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="7">Other fee (specify) _____</td><td></td></tr><tr><td colspan="7">SUBTOTAL (3)</td><td>(\$) 240</td></tr></tbody></table>		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	105	130	205	65			Surcharge - late filing fee or oath	130	127	50	227	25			Surcharge - late provisional filing fee or cover sheet		139	130	139	130			Non-English specification		147	2,520	147	2,520			For filing a request for <i>ex parte</i> reexamination		112	920*	112	920*			Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action		115	110	215	55			Extension for reply within first month	110	116	390	216	195			Extension for reply within second month		117	890	217	445			Extension for reply within third month		118	1,390	218	695			Extension for reply within fourth month		128	1,890	228	945			Extension for reply within fifth month		119	310	219	155			Notice of Appeal		120	310	220	155			Filing a brief in support of an appeal		121	270	221	135			Request for oral hearing		138	1,510	138	1,510			Petition to institute a public use proceeding		140	110	240	55			Petition to revive - unavoidable		141	1,240	241	620			Petition to revive - unintentional		142	1,240	242	620			Utility issue fee (or reissue)		143	440	243	220			Design issue fee		144	600	244	300			Plant issue fee		122	130	122	130			Petitions to the Commissioner		123	50	123	50			Processing fee under 37 CFR 1.17(q)		126	180	126	180			Submission of Information Disclosure Stmt		581	40	581	40			Recording each patent assignment per property (times number of properties)		146	710	246	355			Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	249	355			For each additional invention to be examined (37 CFR § 1.129(b))		179	710	279	355			Request for Continued Examination (RCE)		169	900	169	900			Request for expedited examination of a design application		Other fee (specify) _____								SUBTOTAL (3)							(\$) 240
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140	110	240	55			Petition to revive - unavoidable																																																																																																																																																																																																																																																					
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142	1,240	242	620			Utility issue fee (or reissue)																																																																																																																																																																																																																																																					
143	440	243	220			Design issue fee																																																																																																																																																																																																																																																					
144	600	244	300			Plant issue fee																																																																																																																																																																																																																																																					
122	130	122	130			Petitions to the Commissioner																																																																																																																																																																																																																																																					
123	50	123	50			Processing fee under 37 CFR 1.17(q)																																																																																																																																																																																																																																																					
126	180	126	180			Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																					
581	40	581	40			Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																					
146	710	246	355			Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																																																																					
149	710	249	355			For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																																																																					
179	710	279	355			Request for Continued Examination (RCE)																																																																																																																																																																																																																																																					
169	900	169	900			Request for expedited examination of a design application																																																																																																																																																																																																																																																					
Other fee (specify) _____																																																																																																																																																																																																																																																											
SUBTOTAL (3)							(\$) 240																																																																																																																																																																																																																																																				

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Jacob Eisenberg	Registration No. (Attorney/Agent)	43,410
Signature	<i>Jacob Eisenberg</i>	Telephone	+49895003290
		Date	May 14, 2001

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